

## CHANGES APRIL 1, 2014 THROUGH FEBRUARY 27, 2015

**TITLE 17—COMMODITY AND SECURITIES EXCHANGES****Chapter I—Commodity Futures Trading Commission (Parts 1—199)**

1 Authority citation revised.....	<b>57781</b>
1.3 (ggg)(4)(i) revised.....	<b>57781</b>
1.20 Appendix B correctly revised .....	<b>26832</b>
1.23 (d)(2) introductory text, (i), (v) and (3) correctly revised .....	<b>44126</b>
1.26 Appendix B correctly revised .....	<b>26833</b>
23.600 (e)(2) correctly revised.....	<b>41126</b>
30.7 (d)(1), (g)(4) introductory text, (v) and (5) introductory text correctly revised.....	<b>44126</b>
140.91 (a)(12) correctly revised.....	<b>44126</b>

**Chapter II—Securities and Exchange Commission (Parts 200—399)**

200.30-13 (c) added.....	<b>59105</b>
200.800 (b) table amended .....	6902
211 Staff accounting bulletin .....	<b>69373</b>
229.512 (a)(1)(iii)(B) and (C) amended; (a)(5)(iii) and (7) added; (l) removed .....	<b>57311</b>
229.601 (a) table revised; (b)(36) and (102) through (106) added .....	<b>57311</b>
(a) table corrected .....	<b>58674</b>
229.1100 (c) heading, introductory text and (f) revised .....	<b>57313</b>
(f) amended .....	6652
229.1101 (c)(3)(ii)(A) and (B) amended; (m) added .....	<b>57313</b>
229.1102 (a) amended .....	<b>57313</b>
229.1103 (a)(2) instruction added .....	<b>57313</b>
229.1104 (e)(1) amended; (f) and (g) added.....	<b>57313</b>
229.1105 Introductory text and (a)(3)(ii) instruction added; (a)(3)(ii) and (c) revised; (a)(3)(iv) added.....	<b>57314</b>
229.1108 (a)(3) amended; (c)(6) removed; (c)(7) and (8) redesignated as new (c)(6) and (7); (e) added.....	<b>57314</b>
229.1109 Heading revised; introductory text and (a) through	

(f) redesignated as (a) introductory text and (1) through (6); new (a) introductory text heading and new (b) added.....	<b>57314</b>
229.1110 (a) amended; (b)(3) and (c) added .....	<b>57314</b>
229.1111 (e) revised; (h) added .....	<b>57315</b>
229.1112 Amended.....	<b>57315</b>
229.1113 (a)(7)(i) added .....	<b>57315</b>
229.1114 Amended.....	<b>57315</b>
229.1119 (a)(7) added .....	<b>57315</b>
229.1121 (a)(9) amended; (d) and (e) added .....	<b>57315</b>
229.1122 (c)(1) revised; (c)(2) redesignated as (c)(3); new (c)(2) and (d)(1)(v) added; instructions amended .....	<b>57316</b>
229.1124 Added .....	<b>57316</b>
229.1125 Added; appendix added .....	<b>57316</b>
Appendix corrected.....	<b>58674</b>
230.139a Amended .....	<b>57328</b>
230.167 (a) amended .....	<b>57328</b>
230.190 (b)(1) revised; (b)(6) amended; (b)(7) removed; (d) added .....	<b>57328</b>
(b)(5) amended .....	6652
230.193 Amended .....	<b>57329</b>
230.401 (g)(1) amended; (g)(4) added .....	<b>57329</b>
230.405 Amended .....	<b>57329</b>
230.415 (a)(1)(vii) and (ix) revised; (a)(1)(xii) added .....	<b>57329</b>
230.419 (b)(2)(iv)(B) amended .....	<b>47957</b>
230.424 (b)(2) and (8) amended; (h) added .....	<b>57329</b>
230.430B (a) amended .....	<b>57329</b>
230.430C (a) amended .....	<b>57329</b>
230.430D Added .....	<b>57329</b>
230.433 (b)(1)(i) and (c)(1)(i) amended; (d)(6)(iii) removed .....	<b>57331</b>
230.456 (c) added .....	<b>57331</b>
230.457 (s) and (t) added .....	<b>57331</b>
230.482 (b)(3)(i) amended; (b)(4) revised .....	<b>47957</b>
232.11 Added .....	<b>57332</b>
232.101 (a)(1)(xiv) added .....	<b>55261</b>
(a)(1)(xii) and (3) note amended; (a)(1)(xiv) added .....	<b>57332</b>
(a)(1)(xiv) designation corrected to (a)(1)(xv).....	<b>66607</b>
232.201 (a) introductory text revised; (b) Note 1 amended; (d) added .....	<b>57332</b>
(a) introductory text amended .....	6652

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232.202 (a) introductory text amended.....	<b>57332</b>	redesignated as (b)(1); heading, (a) introductory text and new (b)(1) revised; (a)(7), (8), (b)(2), (d) and (e) added	.....	<b>55263</b>
232.301 Revised.....	<b>35281, 64312, 76879</b>			
232.305 (b) revised.....	<b>57332</b>			
239.11 Revised; Form S-1 amend- ed.....	<b>57332</b>			
239.13 (a)(4) removed; (a)(5) through (8) redesignated as new (a)(4) through (7); (b)(5) revised; (e) introductory text amended.....	<b>57332</b>	(a)(3) introductory text, (4) in- troduction text, (5) introduc- tory text and (6) introduc- tory text correctly amended	.....	<b>61576</b>
Form S-3 amended.....	<b>57333</b>			
239.15A Form N-1A amended.....	<b>47968</b>			
239.44 Added; Form SF-1 added .....	<b>57333</b>			
239.45 Added.....	<b>57337</b>			
Form SF-3 added.....	<b>57338</b>			
240 Authority citation amended .....	<b>39159, 47369, 55261</b>			
Technical correction.....	<b>48975</b>			
Authority citation revised.....	<b>57344</b>			
240.0-13 Added.....	<b>39159, 47369</b>			
240.3a67-10 Added.....	<b>39159, 47369</b>			
240.3a68-1a (a)(1)(iv)(D), (G), (H)(1), (2), (3), (c)(1), (3)(ii), (4) and (5) amended.....	<b>57344</b>	(a)(3) introductory text, (i), (iii)(A), (b)(9), (c)(6) and (7) correctly amended; (a)(3)(iii)(E), (c)(8), (f) and (g) correctly added; eff. in part 6-15-15 .....	<b>61576</b>	
240.3a68-1b (a)(1)(iv)(D), (G), (H)(1), (2), (3), (c)(1), (3)(ii), (4) and (5) amended.....	<b>57344</b>	240.17g-5 (a)(3) introductory text, (i), (ii) introductory text, (iii)(A), (B) introductory text, (C), (D), (b)(9), (c)(6), (7) and (e) introductory text amended; (a)(3)(iii)(E), (c)(8), (f) and (g) added; eff. in part 6-15-15 .....	<b>55264</b>	
240.3a71-3 Added.....	<b>39160, 47370</b>	240.17g-6 (a)(4) amended.....	<b>55264</b>	
240.3a71-4 Added.....	<b>39160, 47370</b>	240.17g-7 Revised; eff. in part 6- 15-15 .....	<b>55264</b>	
240.3a71-5 Added.....	<b>39160, 47370</b>	Introductory text amended.....	<b>57344</b>	
240.15c2-8 (b) amended; (j) re- moved.....	<b>57344</b>	240.17g-8 Added; eff. 6-15-15 .....	<b>55267</b>	
240.15c3-1e (c)(4)(vi)(A) through (D) correctly removed.....	<b>38451</b>	240.17g-9 Added; eff. 6-15-15 .....	<b>55269</b>	
240.15d-22 (a) introductory text and (b)(1) amended.....	<b>57344</b>	240.17g-10 Added; eff. 6-15-15 .....	<b>55270</b>	
240.15Ga-1 (a) amended.....	<b>57344</b>	240.24b-2 Preliminary note and (b) introductory text amend- ed; (g) added.....	<b>72436</b>	
240.15Ga-2 Added; eff. 6-15-15.....	<b>55261</b>	241 Interpretive releases.....	<b>39161, 47371</b>	
(a) corrected .....	<b>61576</b>	Technical correction.....	<b>48975</b>	
240.17g-1 (a) through (d) amend- ed; (e) through (i) added .....	<b>55262</b>	242 Heading revised .....	<b>72436</b>	
240.17g-2 (a)(2)(iii), (7) and (b)(9) amended; (a)(9) and (b)(12) through (15) added; (b)(1), (11) and (c) revised; (d)(1) re- designated as (d); (d)(2) and (3) removed; eff. in part 6-15- 15 .....	<b>55263</b>	242.301 (b)(6)(i)(A) and (B) re- vised; (b)(6)(i)(C) and (D) re- designated as new (b)(6)(i)(A) and (B) .....	<b>72436</b>	
240.17g-3 (a)(1) introductory text, (2) introductory text, Note, (3) introductory text, (4) in- troduction text, (5) introduc- tory text, (6) introductory text and Note amended; (b)		242.1000 Added .....	<b>72436</b>	

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249.312 Form 10-D amended .....	<b>57345</b>	449.1 Amended .....	<b>38456</b>
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249.1400 (Subpart O) Revised .....	<b>55270</b>	449.3 Amended .....	<b>38456</b>
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249.1400 Form ABS-15G revised; eff. 6-15-15.....	<b>55270</b>	450.1 (b) amended.....	<b>38456</b>
249.1401 Added; Form ABS-EE added.....	<b>57346</b>	450.3 (a) amended.....	<b>38456</b>
249.1900 (Subpart T) Added .....	<b>72440</b>	<i>Proposed Rules:</i>	
249b.300 Form amended .....	<b>55274</b>	1 .....	<b>30762, 31238, 37973, 68140, 68148,</b> <b>69073, 71973</b>
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249b.500 Section and Form ABS Due Diligence-15E added; eff. 6-15-15 .....	<b>55312</b>	14.....	<b>63343</b>
250 Added.....	<b>39162, 47372</b>	15.....	<b>30762, 37973, 41149, 71973</b>
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270.2a-7 Revised .....	<b>47958</b>	17.....	<b>30762, 37973, 71973</b>
270.12d3-1 (d)(7)(v) amended.....	<b>47967</b>	.....	.....200, 10022
270.18f-3 (c)(2)(i) amended .....	<b>47967</b>	19.....	<b>30762, 37973, 71973</b>
270.22e-3 (a)(1) revised; (d) added .....	<b>47967</b>	.....	.....200, 10022
270.30b1-7 Revised.....	<b>47967</b>	23.....	<b>59898</b>
270.30b1-8 Added.....	<b>47967</b>	32.....	<b>30762, 37973, 71973</b>
270.31a-1 (b)(1) amended.....	<b>47968</b>	.....	.....200, 10022
274.11A Form N-1A amended.....	<b>47968</b>	37.....	<b>30762, 37973, 71973</b>
274.201 Form N-MFP revised.....	<b>47969</b>	.....	.....200, 10022
274.222 Added; Form N-CR added .....	<b>47973</b>	38.....	<b>30762, 37973, 71973</b>
275.206(3)-3T (d) amended .....	<b>76888</b>	.....	.....200, 10022
279.9 Form PF amended .....	<b>47975</b>	140.....	<b>30762, 37973, 59898, 71973</b>
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400.3 Amended.....	<b>38455</b>	.....	.....200, 10022
401.7 Removed; new 401.7 redesignated from 401.9 .....	<b>38455</b>	200—399 (Ch. II).....	<b>77975</b>
401.8 Removed .....	<b>38455</b>	200.....	<b>18483, 36443</b>
401.9 Redesignated as new 401.7 .....	<b>38455</b>	229.....	<b>18483</b>
402 Authority citation revised .....	<b>38455</b>	.....	<b>8486</b>
402.1 (f) revised.....	<b>38455</b>	230.....	<b>18483, 19564, 54224, 69073, 78343</b>
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403 Authority citation revised .....	<b>38456</b>	.....	<b>8486</b>
403.7 (d) and (e) removed.....	<b>38456</b>	241.....	<b>69073</b>
405.2 (a)(11) through (14) removed; (a)(15) and (16) redesignated as new (a)(11) and (12).....	<b>38456</b>	243.....	<b>18483</b>
405.5 (a)(7) removed .....	<b>38456</b>	249.....	<b>18483, 25194</b>
420 Revised.....	<b>73414</b>	270.....	<b>19564, 47986, 51922</b>
420.4 (a) revised.....	<b>38456</b>	274.....	<b>47986, 51922</b>
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2 Policy statement .....			<b>42665</b>

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